RC Construction

SAFETY AND HEALTH

General contractor liability - multiple employer worksite

The general contractor on a multiple employer construction site is responsible for its subcontractor's WISHA violation when (1) the violation exposes not only the subcontractor's employees, but also other workers on the site to a safety hazard, (2) the general contractor could reasonably have been expected to prevent or abate the subcontractor's violation by reason of its supervisory capacity over the entire site, and (3) the subcontractor's WISHA violation is obvious.In re RC Construction, BIIA Dec., 87 W039 (1989) [Editor's Note: See also Stute v. P.B.M.C., Inc., 114 Wn.2d 454 (1990).]

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BEFORE THE BOARD OF INDUSTRIAL INSURANCE APPEALS STATE OF WASHINGTON

IN RE: R C CONSTRUCTION) DOCKET NO. 87 W039

CITATION & NOTICE NO. 380916) DECISION AND ORDER

APPEARANCES:

Firm, R C Construction, by

Gordon, Thomas, Honeywell, Malanca, Peterson & Daheim, per

Nate D. Mannakee

Department of Labor and Industries, by

Office of the Attorney General, per

Ronald Lavigne, Law Clerk, Gary McGuire, Paralegal, and Elliott S. Furst, Assistant

This is an appeal filed by R C Construction on June 17, 1987 with the Department of Labor and Industries Safety Division, and certified to the Board on June 22, 1987 from Corrective Notice of Redetermination No. 380916 of the Department of Labor and Industries dated June 5, 1987, which affirmed Citation and Notice No. 380916 dated March 12, 1987 and which cited R C Construction for one serious repeat violation of WAC 296-155-225(1)(b), but reduced the penalty assessment from \$2800 to \$720. **AFFIRMED**.

PROCEDURAL AND EVIDENTIARY MATTERS

Pursuant to RCW 51.52.104 and RCW 51.52.106, this matter is before the Board for review and decision on a timely Petition for Review filed by the Department of Labor and Industries to a Proposed Decision and Order issued on March 27, 1989 in which the Corrective Notice of Redetermination dated June 5, 1987 was reversed.

The Industrial Appeals Judge incorrectly published the discovery deposition of Steve Nilsen taken on January 22, 1988. Since no basis for publishing that deposition in its entirety has been established under ER 613, 801, or 804, the Industrial Appeals Judge's ruling is reversed.

The Board has reviewed all other evidentiary rulings in the record of proceedings and finds that no further prejudicial error was committed and said rulings are hereby affirmed.

DECISION

As he was driving by R C Construction's jobsite at 84th and Pine in Tacoma, Washington, on February 23, 1987, Department safety inspector Gary Cochran observed William Robbins and Cecil Warner walking on a standard pitched plywood roof deck, 26 feet above ground level without fall protection. R C Construction was cited for violation of WAC 296-155-225(1)(b), which provides that "safety belts shall be used when workers are exposed to the hazard of falling from buildings, . . . or

construction members . . . at elevations exceeding 10 feet above ground" At hearing there was no challenge to the Department's position that a violation had in fact occurred. Rather, R C Construction contended that neither William Robbins nor Cecil Warner was an employee of R C Construction. Our Industrial Appeals Judge reversed the Corrective Notice of Redetermination. She found that Mr. Robbins and Mr. Warner were not employees of R C Construction and therefore concluded that their failure to properly use safety belts was not a violation by R C Construction.

By way of its Petition for Review, the Department requests we affirm the Corrective Notice of Redetermination and raises two issues which we state as follows:

- Were William Robbins and Cecil Warner employees of R C Construction? and,
- 2. Even if William Robbins and Cecil Warner were not employees of R C Construction, may R C Construction still be held responsible for the violation?

With regard to the first issue, we agree with the finding of our Industrial Appeals Judge that Mr. Robbins and Mr. Warner were not employees of R C Construction. However, on the second issue, we hold that R C Construction was properly cited for the violation as the general contractor involved in a common undertaking and in control of a multi-employer construction jobsite.

Holly Homes, the prime contractor, contracted with R C Construction, the general contractor, to frame the structures of apartment buildings at 84th and Pine Street in Tacoma, Washington. R C Construction then subcontracted a portion of the framing work to Steve Nilsen Construction, in which Mr. Robbins was a partner. Partner Steve Nilsen did not particularly like the roof portion of framing work, primarily because of the chance of receiving a WISHA citation for failure to comply with fall protection regulations. Whether Mr. Robbins and Mr. Warner were employees of R C Construction turns upon the question of whether Mr. Robbins undertook the roof framing work as R C Construction's own employee or as part of the Nilsen partnership performing the general framing work. Upon our review of the entire record, we find that William Robbins was acting as a partner in Steve Nilsen Construction and employed Cecil Warner in furtherance of the partnership; neither Mr. Robbins nor Mr. Warner was an employee of R C Construction.

The testimony related to this first issue is adequately discussed in the Proposed Decision and Order. Even though Steve Nilsen stated his preference not to have any part of the roofing project, he indicated William Robbins took the job on behalf of Steve Nilsen Construction, that he billed R C

Construction for both the wall framing and roof framing work, and that he paid Mr. Robbins, who in turn paid Mr. Warner. Steve Nilsen also stated his understanding that he, along with R C Construction superintendent, Steven Mason, was responsible for supervising the job from a quality control perspective. In addition, Mr. Robbins and Mr. Warner used equipment belonging to Steve Nilsen Construction to frame the roof.

Likewise, R C Construction superintendent Steven Mason stated he arranged for Steve Nilsen Construction to perform both the wall and roof framing. Randall Chopp, the owner/operator of R C Construction, stated he had subcontracted with Steve Nilsen Construction for both wall and roof framing. Contrary to assertions by Mr. Robbins, both Mr. Mason and Mr. Chopp denied ever having told Mr. Robbins that he would be placed on R C Construction's records as an employee. Mr. Chopp stated he paid Steve Nilsen Construction for the work performed.

Mr. Robbins and Mr. Warner were, then, providing their personal labor and skill in furtherance of the subcontract held by Steve Nilsen Construction. Our Industrial Appeals Judge was correct in concluding that Mr. Robbins and Mr. Warner were neither employees of R C Construction nor working directly for R C Construction as independent contractors in their own right providing their personal labor to R C Construction. RCW 49.17.020(3) & (4); WAC 296-155-012(12) and (28).

The second issue is more problematical. That WAC 296-155- 225(1)(b) was violated is undisputed. Mr. Cochran and Mr. Mason both observed the violation, which was captured by the former in the photograph admitted as Exhibit No. 2. Mr. Robbins further testified that he and Cecil Warner, the worker shown in Exhibit No. 2, worked for five days framing the roof of Building G without any safety protection. The critical question before us is whether the general contractor, R C Construction, is responsible under WISHA for an obvious safety violation by one of its subcontractors.

Unfortunately, the Department did not try this case on a multi- employer worksite theory. Instead the Department focused solely on trying to prove that Mr. Warner and Mr. Robbins were employees of R C Construction rather than of Nilsen Construction. Having failed in that proof the Department now, for the first time, argues that R C Construction, as the general contractor, is responsible for its subcontractor's safety violation. The law in this area is not quite so clear as the Department's Petition for Review seems to contend.

RCW 49.17.060 provides as follows:

Each employer:

(1) Shall furnish to each of his employees a place of employment free from recognized hazards that are causing or likely to cause serious injury or

death to his employees: <u>Provided</u>, That no citation or order assessing a penalty shall be issued to any employer solely under the authority of this subsection except where no applicable rule or regulation has been adopted by the department covering the unsafe or unhealthful condition of employment at the work place; and

(2) <u>Shall comply with the rules, regulations</u>, and orders promulgated under this chapter.

(Emphasis supplied).

Cases interpreting RCW 49.17.060(1) and (2) have typically arisen in the context of personal injury lawsuits. Our Supreme Court has twice ruled upon the scope of the duty imposed upon an employer under RCW 49.17.060 in personal injury cases in which plaintiffs contended an employer was negligent as a matter of law due to alleged violation of this statute and WISHA regulations. Adkins v. Aluminum Company, 110 Wn.2d 128, 750 P.2d 1257 (1988) and Goucher v. J.R. Simplot Co., 104 Wn.2d 662, 709 P.2d 774 (1985).

An employer's duty is twofold under RCW 49.17.060. Under the first subsection, a general duty is imposed upon an employer to protect its employees from hazards that are "causing or likely to cause serious injury or death" Under the second subsection, a specific duty is imposed upon employers to comply with WISHA regulations. In Goucher, the court noted that the federal counterpart to Washington's twofold duty statute, 29 U.S.C. § 654(a), has been subject to varying interpretations by federal courts. Some have held that OSHA regulations protect not only an employer's own employees, but all employees who may be harmed by the employer's violation of the regulations. Others have held that the regulations protect only an employer's own employees. Our Supreme Court was persuaded that " ... WISHA regulations should be construed to protect not only an employer's own employees, but all employees who may be harmed by the employer's violation of the regulations." Goucher, at 672. See also Adkins, at 152-154.

The court in <u>Adkins</u> restated the difference between the two duties owed by an employer as follows:

The specific duty clause in RCW 49.17.060(2), in contrast to RCW 49.17.060(1), applies when a party asserts that the employer failed to comply with a particular WISHA standard or regulations. In such a case, all employees who work on the premises of another employer are members of the protected class.

(Emphasis supplied) Adkins, at 153.

At a minimum we conclude from the Washington Supreme Court's holdings in Adkins and Goucher that the absence of an employer-employee relationship between the workers exposed and the employer alleged to have violated a WISHA regulation on a multi-employer jobsite will not, in and of itself, insulate that employer from citation for violation of a regulation. At least this much was an integral part of the court's reasoning in each case and a rule of law necessary to the court's consideration of whether the doctrine of negligence per se would apply. Thus, the finding that neither William Robbins nor Cecil Warner was an employee of R C Construction, nor an independent contractor whose personal service was the essence of a contract with R C Construction, is not necessarily determinative on the matter of whether R C Construction may be cited for the violation of WAC 296-155-225(1)(b).

The Department contends that R C Construction was properly cited if "R C Construction was in control of, and responsible for the maintenance of the construction site at 84th and Pine in Tacoma, Washington; ... a fall protection hazard existed; ... the hazard was accessible to the employees of R C Construction or those of Nilsen Construction; and ... R C Construction and Nilsen Construction were engaged in a common undertaking." PFR at 10-11. For authority, the Department cites Brennan v. OSH Rev. Comm'n. & Underhill, 513 F.2d 1032 (2d Cir.1975). In that case, the United States Court of Appeals for the Second Circuit construed subparagraph (2) of 29 U.S.C. § 654(a), the federal counterpart to our specific duty section, RCW 49.17.060(2). The court stated:

In a situation where, as here, an employer is in control of an area, and responsible for its maintenance, we hold that to prove a violation of OSHA the Secretary of Labor need only show that a hazard has been committed and that the area of the hazard was accessible to the employees of the cited employer or those of other employers engaged in a common undertaking.

Underhill, at 1038.

<u>Underhill</u> is one of a number of federal cases which our Supreme Court cited in <u>Adkins</u> in construing our WISHA statute. Specifically, our Supreme Court stated:

In deciding what constitutes the exposure to a hazard which will trigger application of the regulations, we will consider decisions construing the federal counterpart to WISHA, OSHA, and federal decisions regarding machine guarding regulations. [citation omitted] Decisions by the Occupational Safety and Health Review Commission (the Commission) demonstrate that to establish a violation of a machine guarding regulation there must be sufficient evidence showing that employees had access to the violative conditions. To establish employee access, the Secretary of

Labor must demonstrate <u>reasonable</u> <u>predictability</u> that, in the course of their duties, employees will be, are, or have been in the zone of danger. [citations omitted] Where the danger is created by an unguarded machine, the Secretary of Labor can satisfy this burden of proof by demonstrating that the unguarded machine was located where employees could gain access to it and use it in the course of their normal duties. [Citations omitted].

Adkins, at 147.

However, the appeal before us involves a somewhat different issue from that presented in <u>Goucher</u> and <u>Adkins</u>. That is, this appeal does <u>not</u> involve the question of whether an employer which violates a WISHA regulation may be cited when the only exposed employees are employees of another employer. Clearly, the answer to that question under <u>Adkins</u> and <u>Goucher</u> is affirmative. What we have before us is the question of whether a <u>general</u> contractor on a multi- employer construction site can be cited for a <u>sub</u>contractor's violation of a WISHA regulation. That specific question has never been answered by the Washington courts. There is, however, some guidance to be gleaned from Washington cases in the personal injury arena.

Kelley v. Howard S. Wright Const., 90 Wn.2d 323, 582 P.2d 500 (1978), a pre-WISHA case, addressed the question of whether a general contractor on a multi-employer jobsite had the duty to take safety precautions, i.e., provide fall protection, for a subcontractor's employee who fell from an unprotected height of 29 feet. The Washington Supreme Court determined that the general contractor, "as the employer in control of safety in the work area, was responsible for complying with the OSHA [safety net] regulation". Kelley, at 335.

When work by its very nature creates some peculiar risk of injury, and the general contractor has reason to know of the inherent hazards of the work, the general contractor has a duty to take reasonable precautions against those hazards. [Citations omitted] Work in which employees are required to walk over bare beams more than 25 feet above the ground, and sometimes even higher, is inherently dangerous. Wright [the general contractor] was certainly aware of the hazards involved in such work, and therefore had a duty to take precautions against those hazards.

Kelley, at 332.

The court derived this duty of care from several sources in the common law, from the contract between the parties, and from RCW 49.16.030, which has been replaced by WISHA and is not applicable to the case before us. As the Supreme Court noted in <u>Goucher</u>, <u>Kelley</u> is of limited value because it relied upon RCW 49.16.030, which has been repealed.

However, the Supreme Court's discussion of the duty <u>OSHA</u> places on a general contractor provides some suggestions as to how the court would view that question under WISHA. The court framed the issue in <u>Kelley</u> as follows:

The question of duty to comply with the OSHA regulation at issue here is thus the question of the duty of a general contractor to comply with applicable safety regulations for the benefit of the employee of a subcontractor under our own state law. The first issue is whether the regulation is applicable to appellant Wright.

Kelley, at 335.

The Supreme Court began by noting that the OSH Review Commission (OSHRC) had "taken the position that a general contractor does not bear joint responsibility with a subcontractor for compliance with OSHA regulations, and at least one federal court has acquiesced in that decision. Brennan v. Gilles & Cotting, Inc., 504 F.2d 1255 (4th Cir.1974)." Kelley, at 335.

However, in 1975 the OSHRC apparently modified its position, stating:

We have, however, reconsidered our prior decisions in light of the court decisions in <u>Brennan v. OSHRC (Underhill Construction Corp.) and Anning- Johnson</u>. We continue to believe that the Act can be most effectively enforced if each employer is held responsible for the safety of its own employees. We agree with the courts, however, that this rule should be modified with respect to the construction industry. This is required by the unique nature of the multi-employer worksite common to the construction industry.

<u>Grossman Steel & Aluminum Corp.</u>, 4 OSHC 1185, 1188 (1975). The Commission went on, in acknowledged dicta, to state:

Additionally, the general contractor normally has responsibility to assure that the other contractors fulfill their obligations with respect to employee safety which affect the entire site. The general contractor is well situated to obtain abatement of hazards, either through its own resources or through its supervisory role with respect to other contractors. It is therefore reasonable to expect the general contractor to assure compliance with the standards insofar as all employees on the site are affected. Thus, we will hold the general contractor responsible for violations it could reasonably have been expected to prevent or abate by reason of its supervisory capacity.

Grossman Steel, at 1188.

Our Supreme Court in <u>Kelley</u> also noted that other federal courts have taken a different view from that enunciated in <u>Gilles & Cotting, Inc.</u> In particular the court cited the <u>Underhill Construction</u>

<u>Corp.</u> case which is relied on by the Department in its Petition for Review, which we have quoted from supra, and which was relied on by the OSHRC in <u>Grossman</u>. Indeed, the OSHRC in <u>Grossman</u>, after noting the modification of its prior position, stated: "We will therefore follow the holding of the Second Circuit to this effect in <u>Brennan v. OSHRC (Underhill Construction Corp.)</u> [513 F.2d 1032 (2d Cir.1975)]". <u>Grossman</u>, at 1188.

As our Supreme Court stated in Kelley, "Under [the Underhill Construction Corp. approach] Wright [the general contractor], as the employer in control of safety in the work area, was responsible for complying with the OSHA regulation." Kelley, at 335. Thus, Kelley clearly suggests that, if squarely presented with the question of whether a general contractor is responsible for a WISHA violation committed by a subcontractor, the Washington Supreme Court will likely adopt a test similar to that set forth in Grossman. This question is currently before the Washington Supreme Court in Andre Stute v. P.B.M.C., Inc., No. 56267-9, involving a personal injury lawsuit. A decision in that case has not been issued as yet.

For additional guidance on this question, we have reviewed three other personal injury decisions emanating from the three divisions of our Court of Appeals as well as a 1977 Eighth Circuit Court of Appeals decision, which involved facts similar to the present appeal and applied the test enunciated in <u>Grossman</u> in the context of an OSHA violation, rather than a personal injury lawsuit.

In <u>Straw v. Esteem Const.</u>, 45 Wn.App 869, 728 P.2d 1052 (1986), Division III was faced with the following fact situation: Donahue contracted with Esteem Construction Company to build his home. Esteem subcontracted with A & M to do the drywalling. Unbeknownst to Esteem, A & M subcontracted the drywalling to Apollo. Straw, an employee of Apollo, was injured when he fell down an unprotected stairwell. Only Apollo's employees were on the worksite at the time. Esteem's duties as the general contractor involved timing and coordination of jobs, including regular progress checks. Esteem had provided plywood to cover the stairwell where Mr. Straw's injury occurred. Division III concluded that Esteem was not liable for Mr. Straw's injury.

In reaching this conclusion, Division III acknowledged the contrary interpretation of WAC 296-155-040(2) by Division I in <u>Ward v. Ceco Corp.</u>, 40 Wn.App 619, 699 P.2d 814 <u>review denied</u> 104 Wn.2d 1004 (1985). However, Division III did not acknowledge the contrary interpretation of RCW 49.17.060(2) enunciated by the Washington Supreme Court nine months previous in <u>Goucher</u>. It seems clear to us, that <u>Goucher</u> and the subsequent consistent decision by the Supreme Court in <u>Adkins</u> undermines the rationale of Division III's decision in <u>Straw</u>. Thus we find little guidance in

Division III's approach for resolving the question of R C Construction's liability for Nilsen's violation of WAC 296-155-225(1)(b).

While Division I in <u>Ward</u> accurately presaged the Supreme Court's holding in <u>Goucher</u>, the fact situation in <u>Ward</u> is too dissimilar to assist us in resolving the issue which is before us. In <u>Ward</u>, Division I held Ceco, a subcontractor, liable for injuries sustained by the general contractor's employee, when he fell from an unprotected height in a portion of a multi-employer project which was clearly under Ceco's control.

The Court of Appeals decision which deals with a fact situation most analogous to our own is that cited by the Proposed Decision and Order -- Division II's decision in <u>Bozung v. Condominium Builders</u>, 42 Wn.App 442, 711 P.2d 1090 (1985), decided a little over a month after the Supreme Court's decision in <u>Goucher</u>. Mr. Bozung was injured when his Caterpillar scraper rolled over. He was an employee of Tucci, a subcontractor of Builders. Neither Builders, the general contractor, nor any other subcontractor was doing any work at the site when Mr. Bozung was injured. Builders' site superintendent was the only Builders' employee on site. His duties were to assure that the work was timely performed, per specifications, and to keep trespassers off the premises.

Mr. Bozung contended that Tucci had violated WAC 296-155-950 which requires rollover protection equipment on scrapers and that Builders should be held responsible for this violation. Division II rejected this argument under the particular facts of the case. The court recited the Grossman rule adopted by the Eighth Circuit in Marshall v. Knutson Const. Co., 566 F.2d 596, 601 (8th Cir.1977) to the effect that:

[A]n employer's responsibility for safety violations under the specific duty clause is limited to those violations which the employer reasonably could have been expected to prevent or abate by reason of its supervisory authority.

Bozung, at 451-452.

Having stated the general rule, however, Division II went on to conclude that, under the facts before it the general contractor would not be responsible for the subcontractor's violation of safety regulations. <u>Bozung</u>, at 452.

What is most helpful to us in <u>Bozung</u> is Division II's reliance on the Eighth Circuit's decision in <u>Knutson</u>. It is that decision which provides the most workable framework for analyzing the extent to which a general contractor can be held accountable for its subcontractor's safety violation. As with the other court decisions we have reviewed, Knutson can only be understood within its own factual

context. Thus, a review of the facts of <u>Knutson</u> is essential. First, and most critically, <u>Knutson</u>, unlike <u>Goucher</u>, <u>Adkins</u>, <u>Straw</u>, <u>Ward</u>, and <u>Bozung</u>, is not a personal injury case. Rather, it involves the precise question which is before us with one difference -- <u>Knutson</u>, of course, arose under OSHA, not WISHA.

Knutson was the general contractor at a large construction site. Knutson subcontracted with Flower City Architectural Metals to install a curtain wall. Flower City contracted with Allstate to perform the requisite steel erection. Allstate in turn rented a scaffold, which failed to comply with OSHA standards. Only Allstate's employees worked on the scaffold but the OSHRC found that Knutson's employees had access to the zone of danger underneath the scaffold. The scaffold collapsed, injuring four Allstate employees.

Prior to the collapse, Knutson's safety administrator had inspected the site and noticed the absence of guardrails and toe boards, but had not communicated his observations either to Allstate or the project superintendent. The Secretary of Labor cited Knutson for a non-serious violation with respect to the absence of guardrails and toe boards. In addition, Knutson was cited for a serious violation for failure to meet maximum load requirements. This violation related to a preexisting one inch long crack which contributed to the collapse of the scaffold by rendering it unable to support the weight of the four injured employees.

An Administrative Law Judge reversed both citations. The OSHRC held that Knutson did have a duty with respect to Allstate's safety standard violations and held Knutson responsible for the non-serious violation because "it could reasonably have known that the scaffold lacked a standard guardrail and toe boards." Knutson, at 1078. However, the Commission held that Knutson had not violated its duty with respect to maximum load requirements because "under the circumstances, Knutson could not reasonably have known that the scaffold was incapable of meeting the minimum weight requirement." Knutson, at 1078. That citation was therefore vacated. The Commission emphasized that Knutson could not have detected the one inch crack which caused the scaffold to collapse. On an appeal by the Secretary of Labor, the Eighth Circuit Court of Appeals declined to reverse the Commission on either determination.

<u>Knutson</u> is particularly enlightening with regard to its statement of the legal standard for whether a general contractor should be held responsible for a subcontractor's safety violation on a multi-employer construction site.

Employers who have no control, or only limited control, over the operations of other employers at the worksite, e.g., subcontractors, have a duty to exert reasonable efforts to protect their own employees from the safety standard violations of others. (Citations omitted) contractors normally have the responsibility and the means to assure that other contractors fulfill their obligations with respect to employee safety where those obligations affect the construction worksite. Accordingly, the Commission has stated that it will hold a general contractor responsible under § 654(a)(2) for safety standard violations which `it could reasonably have been expected to prevent or abate by reason of its supervisory Furthermore, the duty of a general capacity'. [Citing Grossman] contractor is not limited to the protection of its own employees from safety hazards but extends to the protection of all the employees engaged at the worksite. [Citations omitted]

<u>Knutson</u>, at 1079 (Emphasis supplied). Thus the court gave its seal of approval to the Commission's interpretation of § 654(a)(2) as enunciated in <u>Grossman</u>.

Some of the factors set forth in <u>Knutson</u> for deciding whether a general contractor has violated its duty under § 654(a)(2) (and therefore arguably under the Washington analogue, RCW 49.17.060(2)) with respect to the safety standard violations of its subcontractors on a multi-employer construction site are: "(1) degree of supervisory capacity, (2) nature of the safety standard violation, and (3) nature and extent of precautionary measures taken." <u>Knutson</u>, at 1080.

Because it deals directly with the specific issue before us, <u>Knutson</u> provides us with the most complete legal framework for analyzing the facts in the instant appeal. The Washington personal injury cases, while helpful, do not specifically decide the issue of which employer/employers the Department can cite for a WISHA violation on a multi-employer construction site.

Unfortunately, the evidentiary record here is not as complete as it might have been. As noted above, the Department waited until the time it filed a Petition for Review to fully develop the theory of its case. Thus, the record is sparse with respect to critical factors such as the nature and extent of the contract between Nilsen and R C Construction, the nature and extent of R C Construction's day-to-day supervision of the project, and the extent to which workers other than Mr. Robbins and Mr. Warner were exposed to the hazard. Even in its Petition for Review, the Department has failed to fully explore and provide sufficient specific transcript references on the critical issues. It has, instead, left to us the task of sifting through the record to discover the requisite evidence.

R C Construction had contracted with the prime contractor, Holly Homes, to frame the apartment buildings located at 84th and Pine Street in Tacoma, Washington, and had subcontracted

framing work in Building G to Steve Nilsen Construction. There does not appear to have been a written contract between the two or any specific discussion with respect to safety standard compliance. The worksite superintendent for R C Construction, Steven Mason, was responsible for crew coordination for specific jobs throughout the worksite and for inspection to insure that jobs were performed satisfactorily by the various subcontractors. In addition to lining up crews for specific jobs, he ran an hourly crew. According to Mr. Mason, Steve Nilsen provided the day-to-day supervision on his particular job. According to William Robbins, Steven Mason or Randall Chopp, the owner of R C Construction, supervised the roof job in Building G. According to Mr. Chopp, neither he nor any of his employees provided day-to-day supervision of Nilsen or the other subcontractors who were also on site. He described the relationship as follows:

A. Well, the crews take it upon themselves. We have kind of an overall job outline description of each duty that they are doing out there. They take it upon themselves to run their helpers and get the job completed. At that moment we go in and take a look and make sure that it's a hundred percent and we pay for that.

2/21/89 Tr. at 117

He essentially agreed with Mr. Mason's description of his supervisory role.

According to Steve Nilsen, he and Steven Mason both supervised the job in Building G from a quality control perspective. As he put it, "Steve Mason supervised all the work" on the worksite. 2/21/89 Tr. at 75. He essentially agreed with Mr. Mason's and Mr. Chopp's description of the role of the superintendent (Mr. Mason) in inspecting work once it was done, providing the subcontractor with a "pickup" list of corrections that needed to be made, and then reinspecting the work once the changes had been made.

On February 23, 1987, when the WISHA inspector observed the violation at Building G, Steven Mason was two buildings away. He acknowledged that no permanent fall protection was in place and that William Robbins and Cecil Warner were not wearing safety belts. Mr. Mason testified that, had he seen something wrong, in his role as superintendent he would have gone to "Steve Nilsen Construction and let them know about it, but it was just so that he could let his help know." 2/21/89 Tr. at 97-98. No evidence was presented to the effect that R C Construction had discussed the provision of fall protection with Steve Nilsen Construction even though it was readily apparent that no safety measures were in place. According to Mr. Robbins' testimony, he and Cecil Warner worked for five days on the roof without any safety protection whatsoever.

That the violation of WAC 296-155-225(1)(b) was obvious from some distance cannot be disputed. Indeed, the violation was observed by Mr. Cochran, the safety and health inspector, as he was driving past the worksite on February 23, 1987. From that distance, he noted that neither safety lines nor other fall protection was being utilized. He photographed the scene as he pulled his vehicle onto the property. Exhibit No. 2 graphically illustrates just how obvious the safety violation was.

The question before us, then, is whether these facts fall within the <u>Grossman</u> guidelines adopted in <u>Knutson</u>, when viewed against the backdrop of the Washington personal injury line of cases. The degree of supervisory capacity in this case does not seem much different from that present in <u>Knutson</u>. For example, Mr. Mason apparently perceived his role with respect to a subcontractor's safety violations in much the same manner as the safety administrator in <u>Knutson</u>, i.e., an advisory one. We also find Mr. Mason's supervision of quality control quite similar to the level of supervision in <u>Knutson</u>. However, we can think of no better example of the <u>actual control</u> exercised by a general contractor on a multi-employer construction site than the fact that, once R C Construction had been cited for Nilsen's safety violation, Mr. Chopp fired Nilsen Construction and hired another subcontractor. Would that R C Construction had exercised such vigilance with respect to the obvious absence of fall protection for five days at Building G without the necessity of a WISHA citation. Nonetheless, it is eminently clear that the citation served the purpose intended by the legislature when it enacted WISHA (RCW 49.17.010) and that, when it chose to, R C Construction exercised considerable control over all safety violations on its worksite.

Another factor which appears to have been significant to Division II in <u>Bozung</u>, when considering the liability of a general contractor for a subcontractor's safety violation, was that, in that case, only the subcontractor's employees were exposed to the danger zone of the hazard created by the subcontractor. No other workers were on the site at the time. In the case before us, it is clear that workers other than Nilsen's employees were on the worksite. Under <u>Underhill</u> and <u>Adkins</u> the question is whether those other workers were also exposed to the hazard or were within the zone of danger.

While there was no direct testimony that employees of other subcontractors or of R C Construction came within the zone of danger, it seems obvious to us, that on a multi-employer construction site, workers on the ground are exposed to the hazard of workers above them falling. Thus it was not only Mr. Robbins and Mr. Warner who were exposed to the danger created by Nilsen's violation of WAC 296-155- 255(1) (b) but also any workers passing beneath them during the five days they were so employed. That is, it was "reasonably predictable" that workers other than Nilsen's

employees would be, were or had been in the zone of danger created by Nilsen's violation during that five day period. See Adkins, at 147. Thus the facts in the instant appeal are clearly distinguishable from the facts in Bozung.

With respect to the nature of the violation, we, like the OSHRC in Knutson, consider the fact that the ongoing five day violation of WAC 296-155-225(1)(b) was obvious to casual passers-by significant. We need only look at Exhibit No. 2 and recall the manner in which Mr. Cochran witnessed the violation as he drove by in his car to conclude that the violation must have been obvious to anyone on the worksite with even a passing interest. Mr. Mason, as the superintendent for the general contractor, should have had more than a passing interest, since Nilsen's violation of WAC 296-155-225(1)(b) exposed not only Nilsen's own workers to a hazard but also any other workers passing below.

For the foregoing reasons, we adopt the rationale set forth in <u>Knutson</u> for multiple employer construction sites. As a general principle, the general contractor on a multiple employer construction site is responsible for its subcontractor's WISHA violation when that violation exposes not only the subcontractor's employees, but also other workers on the site to a safety hazard. This level of responsibility is imposed upon the general contractor when, as here, that employer could reasonably have been expected to prevent or abate the subcontractor's violation by reason of its supervisory capacity over the entire site as the general contractor. The level of control which R C Construction exercised over the worksite, coupled with the obvious nature of Nilsen's WISHA violation, is sufficient to permit the Department to cite R C Construction for Nilsen's violation. On the specific facts of this case, we therefore conclude that Corrective Notice of Redetermination No. 380916 is correct and must be affirmed.

Proposed Finding of Fact No. 1 and proposed Conclusion of Law No. 1 are adopted as the Board's final findings and conclusions. In addition, the following findings and conclusions are entered:

FINDINGS OF FACT

- In February, 1987, R C Construction was the general contractor at a multi-employer construction worksite located at 84th and Pine Street in Tacoma, Washington. R C Construction had contracted with Holly Homes, the prime contractor, to frame apartment buildings at that location and had subcontracted framing work in Building G, including the roof, to Steve Nilsen Construction.
- 3. On February 23, 1987 and for four days previous William Robbins and Cecil Warner were working framing the roof on Building G. During that period they worked at a height of approximately 26 feet above the ground

- without any fall protection. In particular, they did not use safety belts. During that period they were exposed to the hazard of falling, as were the employees of other employers passing below.
- 4. In February, 1987, William Robbins and Cecil Warner were not employees of R C Construction nor were they independent contractors the essence of whose contract was personal labor for R C Construction. William Robbins and Cecil Warner were employees of Steve Nilsen Construction.
- 5. As the general contractor R C Construction had a worksite superintendent, Steven Mason, on site. He was responsible for crew coordination for specific jobs throughout the jobsite and for inspection to insure that jobs were performed satisfactorily by the various subcontractors. He had general supervisory authority over the entire worksite.
- 6. Randall Chopp, the owner of R C Construction, fired Steve Nilsen Construction after the Department cited R C Construction for a WISHA violation because of William Robbins' and Cecil Warner's failure to use safety belts during the roof framing at Building G.
- 7. In addition to William Robbins and Cecil Warner, the employees of other employers working at the jobsite were exposed to the hazard created by Steve Nilsen Construction's failure to require its workers, William Robbins and Cecil Warner, to use fall protection.
- 8. While framing the roof on Building G, William Robbins' and Cecil Warner's failure to use fall protection, in particular, safety belts, for a five day period ending on February 23, 1987, could be and was observed from the ground and at some distance.
- 9. By reason of its supervisory capacity over the entire worksite, R C Construction as the general contractor could reasonably have been expected to prevent or abate the subcontractor Steve Nilsen Construction's failure to use safety belts while framing the roof on Building G.

CONCLUSIONS OF LAW

- 2. On February 23, 1987, Steve Nilsen Construction, a subcontractor on a multi-employer construction jobsite, violated WAC 296-155-225(1)(b).
- William Robbins and Cecil Warner were employees of Steve Nilsen Construction during February, 1987. They were not employees of R C Construction nor were they independent contractors the essence of whose contract with R C Construction was their personal labor. RCW 49.17.020(3) & (4) and WAC 296-155-012(12) and (28).
- 4. Because its subcontractor's violation of WAC 296- 155-225(1)(b) was readily apparent; because the violation exposed not only Steve Nilsen Construction's employees but also other workers on the multi-employer construction worksite to a safety hazard; and because R C Construction could reasonably have been expected to prevent or abate its

- subcontractor's violation by reason of its supervisory capacity over the entire worksite, R C Construction was properly cited for Steve Nilsen Construction's violation of WAC 296-155-225(1)(b) under the specific duty imposed by RCW 49.17.060(2).
- 5. The Corrective Notice of Redetermination of the Department of Labor and Industries dated June 5, 1987, which affirmed the finding of a violation of WAC 296-155-225(1)(b) contained in Citation and Notice No. 380916 dated March 12, 1987, but which reduced the penalty assessment from \$2800 to \$750 is correct and is affirmed.

It is so ORDERED.

Dated this 7th day of November, 1989.

BOARD OF INDUSTRIAL INSURANCE APPEALS	
/s/ SARA T. HARMON	Chairperson
/s/ FRANK E. FENNERTY, JR.	Member
<u>/s/</u> PHILLIP T. BORK	 Member